PROPER OFFICERS

The Council has approved the appointment of the following officers as proper officers.

The Chief Executive shall act as the proper officer in relation to any other enactment where specific arrangements have not been made under the following provisions.

The Section 151 Officer who is currently the Deputy Chief Executive shall act as the proper officer in respect of any other statute where specific arrangements for financial matters have not been made under the following provisions.

The Monitoring Officer shall act as the proper officer in respect of any other statute where specific arrangements for legal matters have not been made under the following provisions.

Proper officer functions may be discharged by any other officers duly authorised to act in the name of the proper officer concerned.

If the officer to whom a function has been delegated is unable to act due to a conflict of interest or any other reason, then it is for the Chief Executive to determine who should exercise that function. If the Chief Executive is unable to act due to a conflict of interest, or any other reason, then it is for the Section 151 Officer to determine who should exercise that function. If both the Chief Executive and Section 151 Officer is unable to act then the powers shall be exercisable by any other Chief Officer of the Council.

| STATUTE OR REGULATION | ACTION | PROPER OFFICER | SUBSTITUTE PROPER OFFICER |
|-------------------------------|---|---------------------------|------------------------------|
| PUBLIC HEALTH ACT 1936 | | | |
| S.79 | Power to require removal of noxious matter | Head of Public Protection | Chief Executive |
| S.84 | Certificate relating to cleansing or destruction of filthy or verminous items | Head of Public Protection | Chief Executive |
| S.85(2) | Cleansing of verminous persons or articles | Head of Public Protection | Chief Executive |
| LOCAL GOVERNMENT ACT | 1972 | | |
| S.83 (1) to (4) | Witness and receipt of Declaration of Acceptance of Office | Monitoring Officer | Chief Executive |
| S.84 | Receipt of notice of resignation of Elected Member | Chief Executive | Deputy Chief Executive |
| S.88(2) | Arranging a Council meeting to appoint to Chair of the Council | Chief Executive | Monitoring Officer |

| STATUTE OR REGULATION | ACTION | PROPER OFFICER | SUBSTITUTE PROPER OFFICER |
|---|---|--|--|
| S.89(1) | Notice of casual vacancy | Chief Executive | Monitoring Officer |
| S.100B(2) | The officer also may exclude from agendas any information which is likely to be dealt with in the absence of press and public | Chief Executive | Monitoring Officer |
| S.100B(7) | Disclosure to newspapers (so far as is consistent with the rules of data protection) | Chief Executive | Deputy Chief Executive |
| S.100C(2) | The officer to prepare a written summary of the proceedings at committees and sub-committees | Chief Executive | Monitoring Officer |
| S.100D(1) and (5) | The officer responsible for identifying and compiling lists of background papers | Chief Executive and the director in whose name the report is written | Deputy Chief Executive and Heads of service who report directly to Directors |
| S.100F(2) | The officer making decisions as to documents disclosing exempt information which are not required to be open to inspection by Council members | Chief Executive | Monitoring Officer |
| S.115(2) | Receipt of money due from officers | Section 151 Officer | Head of Finance Services |
| S.137 and 137A | Receipt of statement provided in relation to financial assistance | Section 151 Officer | Head of Finance Services |
| S.146(1) (a) and (b) | Declarations and certificates with regard to transfer of securities | Section 151 Officer | Head of Finance Services |
| S.151 (and S.114 Local Government and Finance Act 1988) | The officer responsible for the proper administration of the Council's financial affairs | Section 151 Officer | Head of Finance Services |
| S.191 | Officer to whom an application under S.1 of the Ordnance Survey Act 1841 will be sent | Head of Legal Services | Head of Neighbourhoods & Prosperity |
| S.210 | Charity functions of predecessor authorities transferred to the Council | Chief Executive | Monitoring Officer |
| S.225 | Deposit of documents | Head of Legal Services | Chief Executive |

| STATUTE OR REGULATION | ACTION | PROPER OFFICER | SUBSTITUTE PROPER OFFICER |
|-----------------------------|--|------------------------|---------------------------------------|
| S.228(3) | Accounts for inspection by any member of the Council | Section 151 Officer | Chief Executive |
| S.229(5) | Certification of photographic copies of documents | Head of Legal Services | Chief Executive |
| S.234 | Officer who may authenticate documents | Head of Legal Services | Chief Executive |
| S.236(9) and (10) | Sending of copies of byelaws to parish councils, parish meetings and County Council | Head of Legal Services | Chief Executive |
| S.238 | Certification of byelaws | Head of Legal Services | Chief Executive |
| S.248 | Officer who will keep the Roll of Freemen | Chief Executive | Monitoring Officer |
| Para 4(2)(b) of Schedule 12 | Signing of summons to Council meeting | Chief Executive | Monitoring Officer |
| Para 25 of Schedule 14 | Certification of resolution passed under this paragraph | Monitoring Officer | Chief Executive |
| Schedule 29 | To undertake those duties which arise at District and Parish Council elections which, under the Representation of the People Acts, are required to be undertaken not by the Returning Officer but by the Proper Officer. | Chief Executive | Monitoring Officer |
| LOCAL GOVERNMENT ACT | Г 1974 | | |
| S.30(5) | To give notice that copies of an Ombudsman's report are available | Monitoring Officer | Chief Executive |
| LOCAL LAND CHARGES A | CT 1975 | | · |
| | Local Land Charges Registrar under the Land Charges Rules | Head of Legal Services | Chief Executive |
| LOCAL GOVERNMENT (MIS | SCELLANEOUS PROVISIONS) ACT 1976 | | • |
| S.41(1) | The officer who will certify copies of evidence of resolutions and minutes of proceedings | Monitoring Officer | Chief Executive |
| REPRESENTATION OF THE | PEOPLE ACT 1983 | | |
| S.8 | Electoral Registration Officer | Chief Executive | Head of Administrative Services |
| S.35 | Returning Officer for local elections | Chief Executive | Head of Administrative Services |

| STATUTE OR REGULATION | ACTION | PROPER OFFICER | SUBSTITUTE PROPER OFFICER |
|-------------------------------------|--|---|---------------------------------|
| S.52(2) | Deputy Electoral Registration Officer | Head of Administrative Services | Chief Executive |
| S.67 | Acting as the appropriate person for the purposes of Part II | Chief Executive | Head of Administrative Services |
| S.128 | Publishing a petition questioning a local election | Chief Executive | Head of Administrative Services |
| S.131 | Providing suitable accommodation for election court | Chief Executive | Head of Administrative Services |
| S.145 | Receipt of High Court Certificate at the conclusion of trial of local election petition | Chief Executive | Head of Administrative Services |
| S.200 | Posting notices required in relation to local elections | Chief Executive | Head of Administrative Services |
| Paragraphs 3, 6 and 8 of Schedule 4 | Receipt of an election expense declarations and returns and the holding of those documents for public inspection | Chief Executive | Head of Administrative Services |
| PUBLIC HEALTH (CONTR | OL OF DISEASE) ACT 1984 | | |
| S.48 | To issue certificates relative to the removal of bodies to mortuaries or for immediate burial | All Lead Consultants from Public Health England (East Midlands) | |
| S.60 | Service of notices and other documents | Head of Public Protection | Chief Executive |
| s.61 | Powers to enter premises | Head of Public Protection | Chief Executive |
| BUILDING ACT 1984 | | | |
| S.35A | Certifying evidence is sufficient to justify commencing proceedings for contravention of building regulations | Head of Legal Services | Chief Executive |
| S.61 | Access to work to repair drain | Head of Legal Services | Chief Executive |

| STATUTE OR REGULATION | ACTION | PROPER OFFICER | SUBSTITUTE PROPER OFFICER |
|--|--|---|------------------------------|
| S.78 | Taking immediate action in relation to dangerous building | Head of Legal Services | Chief Executive |
| S.93 | Authentication of documents | Head of Legal Services | Chief Executive |
| NOTTINGHAMSHIRE COUN | TY COUNCIL ACT 1985 | | |
| S.3 | Head of Legal Services | Head of Legal Services | Chief Executive |
| S.6 | Head of Legal Services | Head of Legal Services | Chief Executive |
| LOCAL GOVERNMENT FINA | ANCE ACT 1988 | | |
| S114A, 115 | Responsibility for Chief Financial Officer Reports. | Section 151 Officer | Chief Executive |
| S. 116 | Notify auditor of meeting to consider financial report to the authority and decision at that meeting | Section 151 Officer | Chief Executive |
| LOCAL GOVERNMENT AND | HOUSING ACT 1989 | | |
| S.2(4) | Recipient of the list of politically restricted posts | Monitoring Officer | Chief Executive |
| S.4 | Designation and reports of head of paid service | Chief Executive | |
| S.5 (as amended by para 24 of schedule 5 of the Local Government Act 2000) | Designation and reports of Monitoring Officer | Monitoring Officer | Chief Executive |
| S.15,16 | To undertake all matters relating to the formal establishment of political groups within the membership of the council | Chief Executive | Deputy Chief Executive |
| LOCAL GOVERNMENT (CO | MMITTEES AND POLITICAL GROUPS) REGULATION | NS 1990 NO. 1553 | |
| | For the purposes of the composition of committees and nominations to political groups | Monitoring Officer | Chief Executive |
| FOOD SAFETY ACT 1990 | | | |
| s.5(6) | Authorised officer for the purposes of the Council's functions | Environmental Health Officers and Environmental Health Technical Officers | Head of Public Protection |
| ENVIRONMENTAL PROTEC | TION ACT 1990 | | |
| S.149 | Relating to stray dogs | Head of Public Protection | Chief Executive |
| DATA PROTECTION ACT 19 | | | |
| | Data Protection Officer/Senior Information Risk Owner | Chief Information Officer | Chief Executive |

| STATUTE OR REGULATION | ACTION | PROPER OFFICER | SUBSTITUTE PROPER OFFICER |
|--------------------------|--|--|---------------------------------------|
| FREEDOM OF INFOR | MATION ACT 2000 | | |
| s.36 (2) and (5) | The qualified person for the purposes of deciding whether information is exempt from disclosure to the public relating to the prejudice to the conduct of public affairs | Monitoring Officer | Chief Executive |
| S.36 | Determination of all exemptions apart from those relating to the prejudice to the conduct of public affairs | Monitoring Officer | Chief Executive |
| LOCAL GOVERNMEN | | | |
| S.52 | Undertakings by members and co-opted members to observe the Council's Code of Conduct | Monitoring Officer | Chief Executive |
| REGULATION OF INV | ESTIGATORY POWERS ACT 2000 | | |
| | Authorising Officer and Designated Person for the use of surveillance and the acquisition and disclosure of communications data | Chief Executive, Deputy Chief Executive, Monitoring officer, Head of Public Protection, Head of Housing, Head of Rev's and Bens. | |
| LOCAL AUTHORITIES | S (REFERENDUMS) (PETITIONS AND DIRECTIONS) (ENGI | LAND) REGULATIONS 2011 NO | D. 2914 |
| Regulation 4 | Publish the number that is equal to 5 per cent. of the number of local government electors for the authority's area | Chief Executive | Head of Administrative Services |
| Regulation 7 | Post announcement and post directions – petitions | Chief Executive | Head of Administrative Services |
| Regulation 8 | Amalgamation of petitions | Chief Executive | Head of Administrative Services |
| Regulation 11 | Procedure on receipt of petition | Chief Executive | Head of Administrative Services |
| Regulation 13 | Publicity for valid petitions | Chief Executive | Head of Administrative |

| STATUTE OR REGULATION | ACTION | PROPER OFFICER | SUBSTITUTE PROPER OFFICER |
|-------------------------|---|---------------------------|------------------------------|
| | | | Services |
| Regulation 14 | Publicity for invalid petitions | Chief Executive | Head of |
| | | | Administrative |
| | | | Services |
| Regulation 19 | Action following direction | Chief Executive | Head of |
| | | | Administrative |
| | | | Services |
| THE LOCAL AUTHOR 2089 | RITIES (EXECUTIVE ARRANGEMENTS) ACCESS TO INFO | RMATION (ENGLAND) REGU | LATIONS 2012 NO. |
| Regulation 12 | Recording of Executive decisions made at meetings | Chief Executive | Monitoring Officer |
| Regulation 13 | Recording of executive decisions made by individual members | Chief Executive | Monitoring Officer |
| Regulation 14 | Making documents available for inspection following executive decisions | Chief Executive | Monitoring Officer |
| Regulation 5 | Compiling list of background papers for inspection | Chief Executive | Monitoring Officer |
| Regulation 15 | Making report and background papers relating to key decision available for inspection | Chief Executive | Monitoring Officer |
| Regulation 7 | Access to agenda and connected reports | Chief Executive | Monitoring Officer |
| Regulation 9 | Publicity in connection with key decisions | Chief Executive | Monitoring Officer |
| Regulation 10 | General exception | Chief Executive | Monitoring Officer |
| Regulation 16 | Members' rights of access to documents | Chief Executive | Monitoring Officer |
| Regulation 20 | Confidential/exempt information and exclusion of public from meetings | Chief Executive | Monitoring Officer |
| LOCAL AUTHORITIES | S (MEMBERS' ALLOWANCES) (ENGLAND) REGULATIONS | S 2003 | |
| Regulation 13 | Receipt of notice in writing that a councillor wishes to | Chief Executive | Deputy Chief |
| • | forgo any part of his entitlement to an allowance | | Executive |
| HOUSING ACT 2004 | | | |
| Section 4 | Where an official complaint about the condition of | Head of Public Protection | Chief Executive |
| | any residential premises in the district of a local | | |
| | housing authority is made to the proper officer of the | | |
| | authority, and the circumstances complained of | | |

| STATUTE OR REGULATION | ACTION | PROPER OFFICER | SUBSTITUTE PROPER OFFICER | |
|------------------------------------|--|--------------------------|---------------------------------------|--|
| | indicate – a) That any category 1 or category 2 hazard may exist on those premises, or b) That an area in the district should be dealt with as a clearance area, the proper officer must inspect the premises or area. | | | |
| LOCAL ELECTIONS (PARIS | SHES AND COMMUNITIES) (ENGLAND AND WALES) | RULES 2006 NO. 3305 | | |
| Rule 5 | Receipt of request to fill a casual vacancy in the office of a parish or community councillor | Chief Executive | Head of Administrative Services | |
| Paragraph 50 of Schedule 2 | Receipt of declaration of result in parish or community election | Chief Executive | Head of Administrative Services | |
| THE LOCAL AUTHORITIES | (MAYORAL ELECTIONS) (ENGLAND AND WALES) | REGULATIONS 2007 NO.1024 | | |
| Paragraph 54 of Schedule 1 | Receive declaration of result | Chief Executive | Head of Administrative Services | |
| LOCAL AUTHORITIES (CON | LOCAL AUTHORITIES (CONDUCT OF REFERENDUMS)(ENGLAND) REGULATIONS 2012 NO 323 | | | |
| Regulation 4 | Publicity in connection with referendums | Chief Executive | Head of Administrative Services | |
| Paragraphs 41 and 43 of Schedule 5 | Receive declaration of result | Chief Executive | Head of Administrative Services | |

Authentication of documents

Any notice, order or other document which the Council are authorised or required by or under any enactment (including the Local Government Act 1972) to give, make or issue shall be signed or sealed on behalf of the Council by the proper officer of the Council as defined below.

| Proper Officer | Substitute | Purpose |
|--|--|--|
| Chief Executive | Appropriate member of GMT | In accordance with their functions highlighted in the Constitution and the Proper officer functions |
| Section 151 Officer current Deputy Chief Executive | Head of Finance Services | In accordance with their functions highlighted in the Constitution and the Proper officer functions |
| Monitoring officer | Head of Neighbourhoods & Prosperity Head of Administrative Services Head of Legal Services & Deputy Monitoring Officer | In accordance with their functions highlighted in the Constitution and the Proper officer functions |
| Head of Legal Services | Chief Executive | In accordance with their functions highlighted in the Constitution and the Proper officer functions. |
| Head of Public Protection | Chief Executive | In accordance with their functions highlighted in the Constitution and the Proper officer functions |
| Head of Property Services | Chief Executive | In accordance with their functions highlighted in the Constitution and the Proper officer functions |
| Head of Neighbourhood and Prosperity | Chief Executive | In accordance with their functions highlighted in the Constitution and the Proper officer functions |